

Internal Investigations

According to a recent study*, more than one third of all Swiss companies were affected by illegal or unethical behaviour within their own organisation or supply chain in 2024.

In addition to significant financial losses, misconduct by employees, management or business partners can lead to severe legal and reputational risks.

An internal investigation essentially serves to identify misconduct or compliance violations within a company, determine the responsible individuals, and – in a second step – improve the relevant business processes.

Successful internal investigations require experienced and independent professionals, clearly defined objectives, and a careful yet efficient approach. Our team of trained attorneys-at-law and certified fraud examiners has many years of experience conducting internal investigations and acting as investigators on behalf of supervisory authorities. Our expertise covers corporate governance, compliance- and regulatory matters as well as white-collar crime.

Accordingly, we support our clients, among other things, in connection with:

- criminal, administrative and civil proceedings relating to corruption, fraud, embezzlement, mobbing and breaches of confidentiality obligations;
- regulatory and compliance-related matters, particularly in the areas of anti-corruption, anti-money laundering and compliance with sanctions and embargo regulations;
- national and international enforcement proceedings by government authorities.

Whistleblowing

A key tool for uncovering irregularities is an effective whistleblowing system. Such a reporting channel enables early detection of risks and helps limit financial damage. It also fosters a culture of openness that strengthens the trust of employees, business partners and clients.

For a whistleblowing system to be effective, it must be independently integrated into the company's organisation. Depending on the circumstances, outsourcing the whistleblowing function to an external provider can enhance the system's credibility and acceptance.

For many years, we have acted as an external reporting office for companies in the financial-, service- and real estate sectors. We have the necessary expertise and processes to successfully operate a whistleblowing system.

Additional Measures

Beyond whistleblowing systems, further measures can be taken to prevent and detect illegal or unethical behaviour and other irregularities.

Foremost among these are the implementation of a comprehensive compliance programme – including a code of conduct – and effective control processes. Targeted employee training (“awareness training”) can also make an important contribution to reducing misconduct.

With our extensive advisory experience in regulatory and compliance matters, we possess the necessary expertise to design and implement compliance programmes, develop internal regulations, and conduct corresponding training sessions for your employees.

* *Whistleblowing Report 2025*
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EQS Group

Our Services

- Conducting internal and external investigations for companies and authorities
- Representing and advising companies and authorities in investigations by domestic and foreign supervisory and law enforcement agencies
- Designing and assessing of compliance programmes
- Performing risk assessments regarding potential compliance breaches
- Preventive compliance consulting
- Drafting of internal policies and directives
- Conducting employee trainings
- Acting as external whistleblowing function

Our Qualifications

- Law firm focused on regulatory law and compliance
- Many years of experience in conducting internal investigations and acting as investigators for supervisory authorities
- Experienced team of lawyers and Certified Fraud Examiners (CFE)



Mercury Compliance AG

Mercury Compliance AG is a leading law firm specialising in regulatory law and compliance, based in Zurich.

We advise our clients in all core areas of regulatory law and compliance as well as selected matters of general business law. We support them strategically, conceptually and operationally, represent them before supervisory authorities and self-regulatory organisations, and assume ongoing functions under outsourcing arrangements.

To best assist our clients in international and interdisciplinary projects, we can draw on a competent network of selected partners and experts, both domestically and abroad.

Your Contacts

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